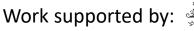
# Physical database design tuning

# Reaching the holy grail of performance gnarantees

#### **Renata Borovica-Gajic**

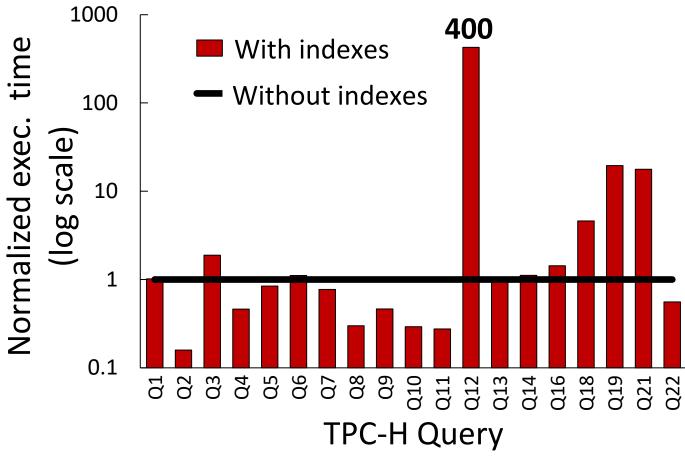






#### Physical design (PD) tuning is hard [VLDBJ'18, ICDE'15, DBTest'12]

**Setting**: TPC-H, SF10, DBMS-X, Tuning tool 5GB space for indexes



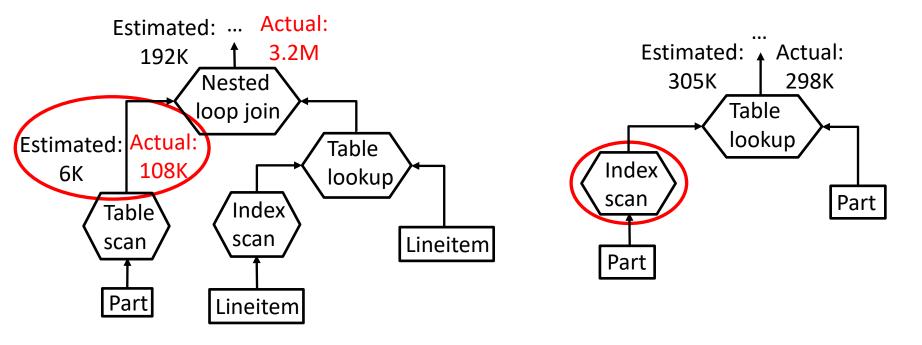
#### And results can be unpredictable



# **Cause for sub-optimal plans**

#### **Cardinality errors**

**Cost model** 



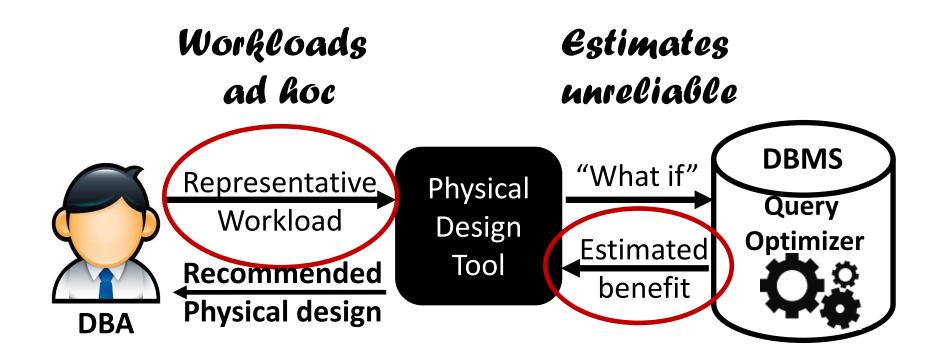
Order of magnitude more tuples

Wrong decision of cost model

#### **Optimizer's mistakes -> hurt predictability**



# Physical design tuning under looking glass

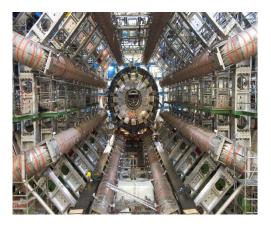


# **Broken pipeline....**



#### Status quo: untenable for modern applications







#### **Properties:**

- Ever growing data
- Ad hoc data exploration
- Multi-tenancy

#### **Challenges:**

- Complex optimization problems
- Analytical models fail

#### Learning algorithms to the rescue

Photos credit: Bloomberg, Stock market°, Atlas experiment, CERN\*, Strato Data Centre, cloud^

# **Embarking the (M) learning train...**

=	Google Scholar	database tuning with machine learning	
•	Articles	pout 535,000 results (0.) sec)	
	Any time Since 2024	Automatic database management system tuning through large-scale machine learning	[PDF] acm.org
	Since 2023 Since 2020 Custom range	D Van Aken, A Pavlo, GJ Gordon, B Zhang - Proceedings of the 2017, 2017 - dl.acm.org to tune new DBMS deployments. The crux of our approach is to train machine learning (ML) knobs, (2) mappreviously unseen database workloads to known workloads, so that we can	
	Sort by relevance	☆ Save 99 Cite Cited by 636 Related articles All 25 versions	
	Sort by date	An inquiry into <b>machine learning</b> -based automatic configuration <b>tuning</b> services on real-world <b>database</b> management systems	[PDF] CMU.edu
	Any type Review articles	<u>D Van Aken, D Yang</u> , S Brillard, A Fiorino Proceedings of the, 2021 - dl.acm.org In this study, we conducted a thorough evaluation of machine learning-based DBMS knob tuning methods with a real workload on an Oracle installation in an enterprise environment	
	include patents	☆ Save 99 Cite Cited by 68 Related articles All 12 versions	
	Create alert	Automatic <b>database</b> index <b>tuning</b> using <b>machine learning</b> <u>M Valavala</u> , W Alhamdani - 2021 6th International Conference, 2021 - ieeexplore.ieee.org used to improve the <b>database</b> performance by ensuring the swift data <b>tuning</b> by using <b>Machine Learning</b> (ML) algorithms will open up new research avenues to address the <b>database</b> raction Structure Str	[PDF] ieee.org
		Qtune: A query-aware <b>database tuning</b> system with deep reinforcement learning <u>G Li, X Zhou</u> , S Li, B Gao - Proceedings of the VLDB Endowment, 2019 - dl.acm.org	[PDF] cam.ac.uk
		OtterTune is a tuning system using traditional machine learning model. For PostgreSQL, we have invited a DBA with 8 years of working experience at Huawei; for MySQL, we invited a ☆ Save 99 Cite Cited by 211 Related articles All 11 versions	
		Towards a general framework for ml-based self- <b>tuning</b> databases <u>T Schmied</u> , <u>D Didona</u> , A Döring, <u>T Parnell</u> on Machine Learning, 2021 - dl.acm.org Machine learning approaches. We now introduce two among the most prominent ML approaches to database tuning, which are implemented by the solutions we investigate in this	[PDF] arxiv.org
		☆ Save 99 Cite Cited by 11 Related articles All 4 versions	
		Identifying new directions in <b>database</b> performance <b>tuning</b> <u>D Colley</u> , C Stanier - Procedia computer science, 2017 - Elsevier	[PDF] sciencedirect.com
		approaches in the current <b>database</b> environment; this paper also as pattern classification using <b>machine learning</b> . The rest of approaches to <b>database</b> performance <b>tuning</b> and Section 4	
		An end-to-end automatic cloud database tuning system using deep reinforcement learning	[PDF] tsinghua.edu.cn
		<u>J Zhang, Y Liu</u> , K Zhou, <u>G Li</u> , Z Xiao, B Cheng Proceedings of the, 2019 - dl.acm.org Traditional machine learning methods rely on massive training samples to train the model while we adout the try and arror method to make our model exercise diversified camples and	

# Multi-armed bandits (MAB) for PD tuning

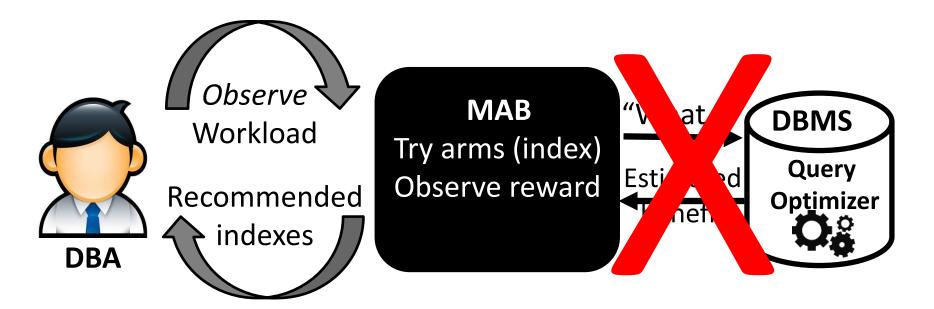


- Pull an arm (slot machine) observe a reward (win/lose)
- Explore vs exploit
- Find a sequence of arms to maximize reward
- Many variants, but **C<sup>2</sup>UCB** most interesting

# **Optimism in the face of uncertainty**



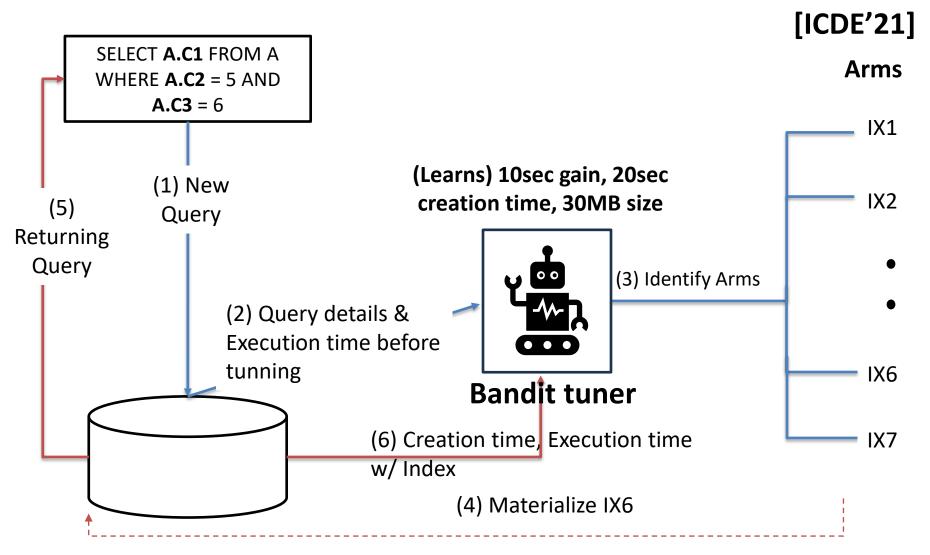
# Index tuning with MAB (C<sup>2</sup>UCB)



- **UCB** guarantees to converge to optimal policy
- C (contextual) learns benefit of arms without pulling them
- **C** (combinatorial) pulls a set of arms per round given constraints

### Safety guarantees with fast convergence

### MAB under looking glass...

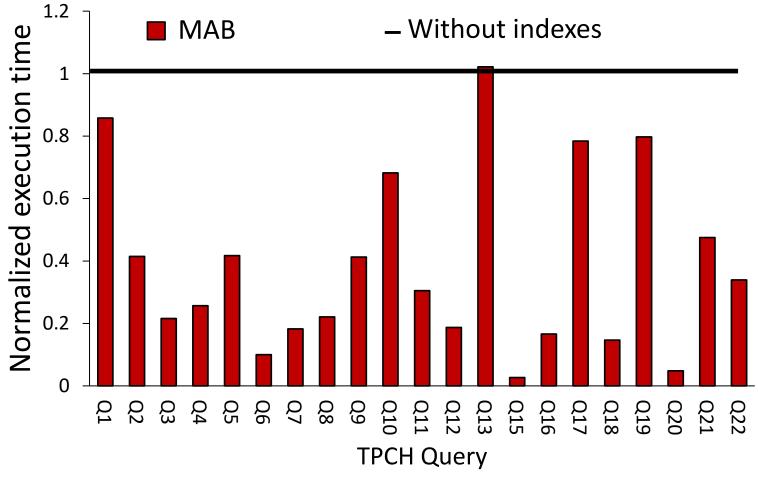


#### Automated tuning with provable guarantees



### **MAB to the rescue**

Setting: TPC-H, SF10, DBMS-X, Multi-armed bandits (MAB) for index tuning

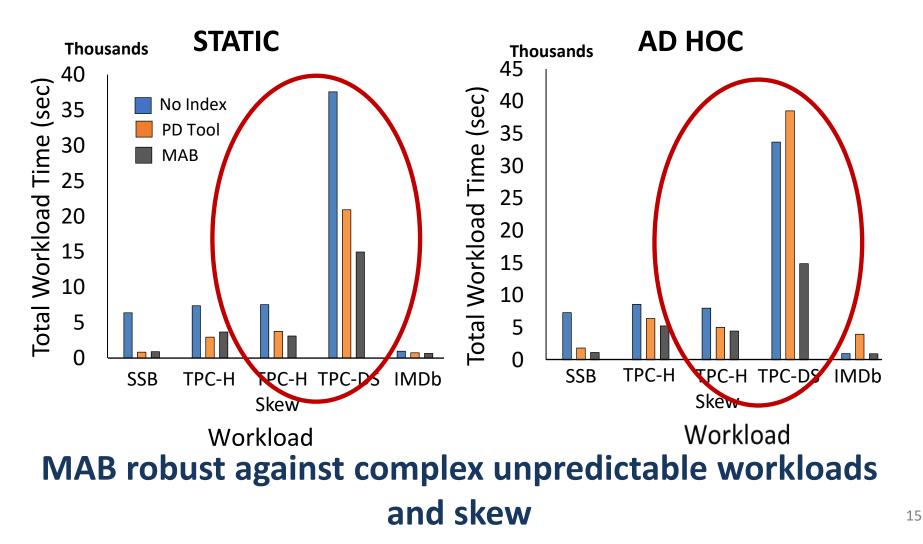


3x Speed up vs. previous 22x slowdown

**[ICDE'21]** 

# MAB in action

**Setting**: TPCH, TPCH skew, TPC DS, SSB (10GB); IMDb(6GB) datasets static (repetitive) vs random (ad hoc) queries, MAB vs PDTool, 25 rounds



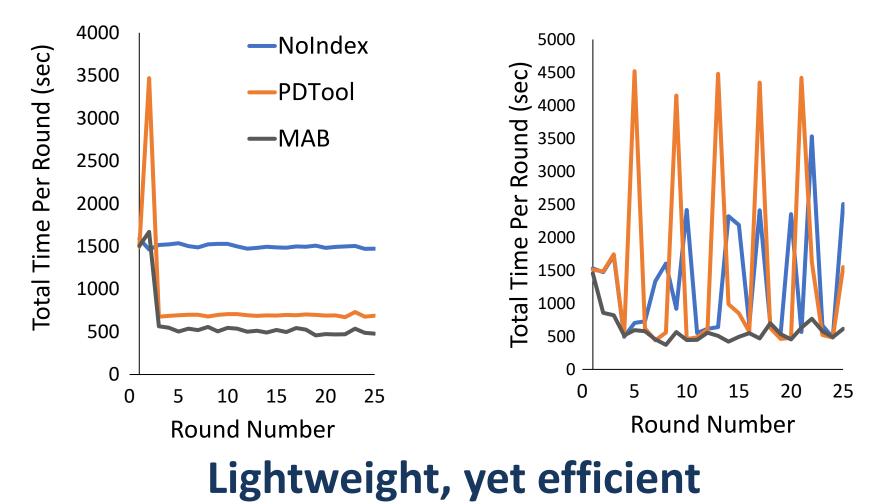


# MAB in action: Zoom in TPC-DS [ICDE'21]

**Setting**: TPC-DS, static vs ad hoc queries, MAB vs PDTool, 25 rounds

STATIC

AD HOC

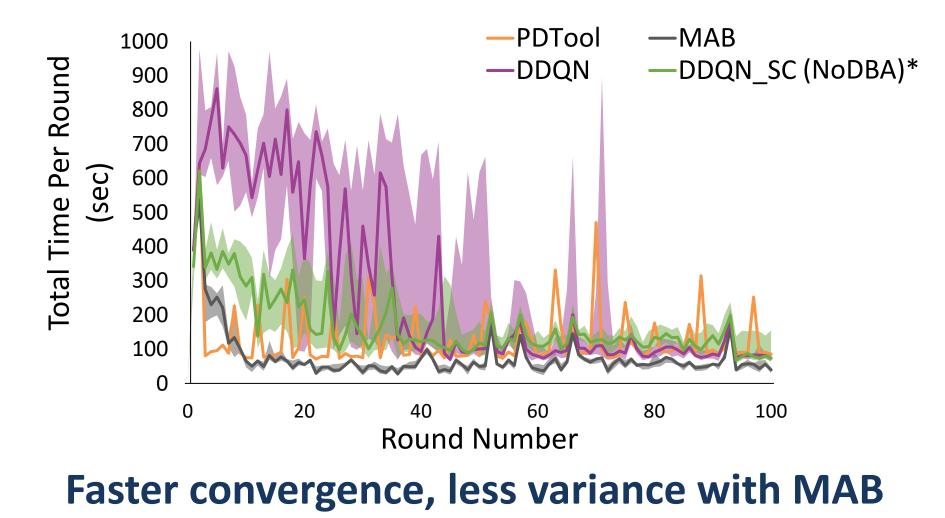




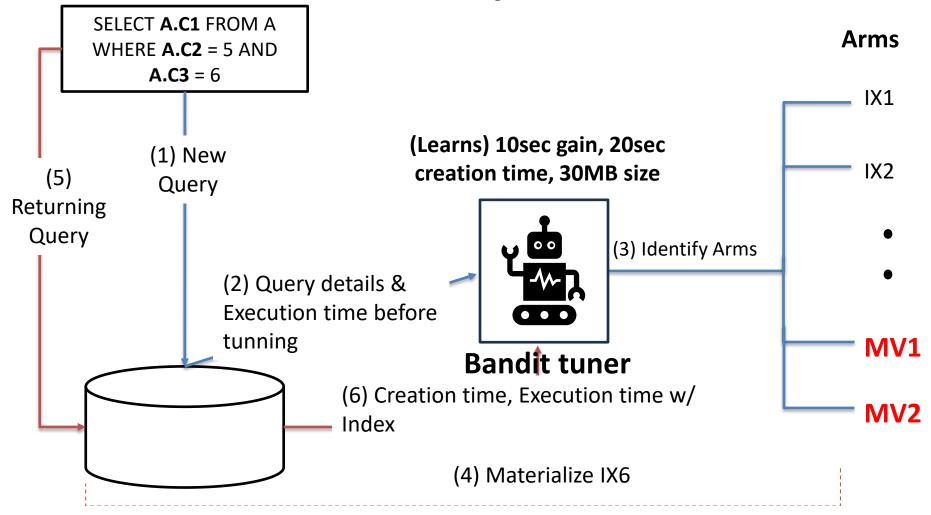
[ICDE'21]

#### Choosing a right tool for the job is key Why not (general) RL

**Setting**: TPC-H Skew 10GB, 100 rounds *static* 

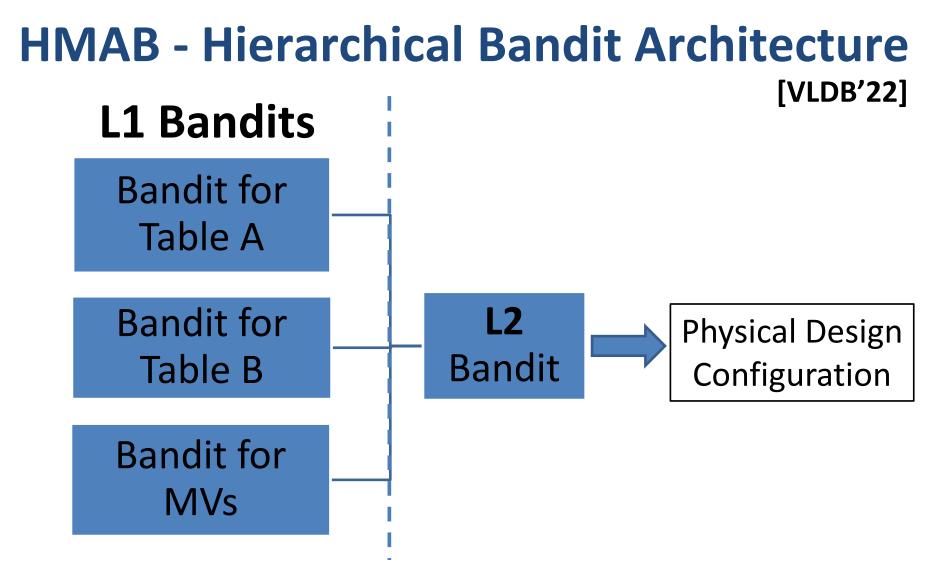


# MAB for Index Tuning: An Example Physical Design



#### Design too complex, too large action space





Smaller bandits for faster convergence Knowledge sharing via central bandit



# **HMAB with contexts**

[VLDB'22]

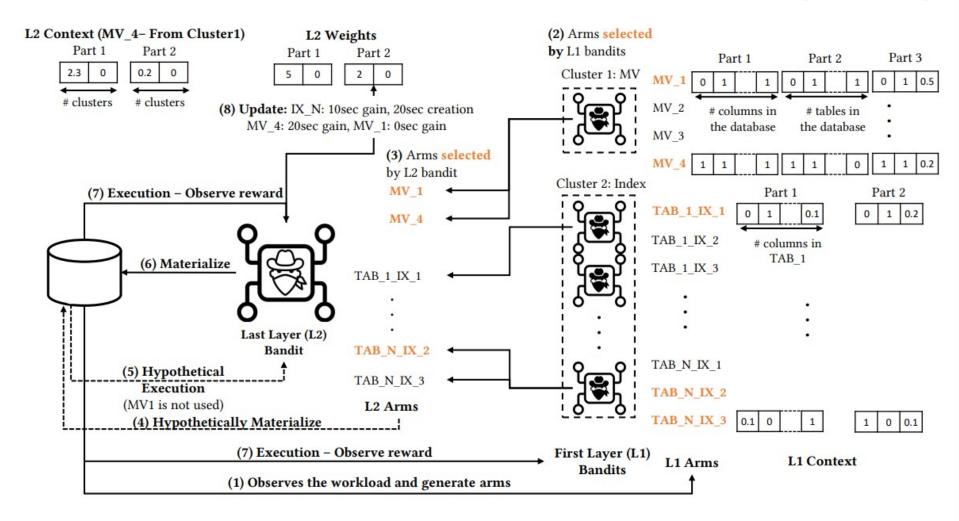


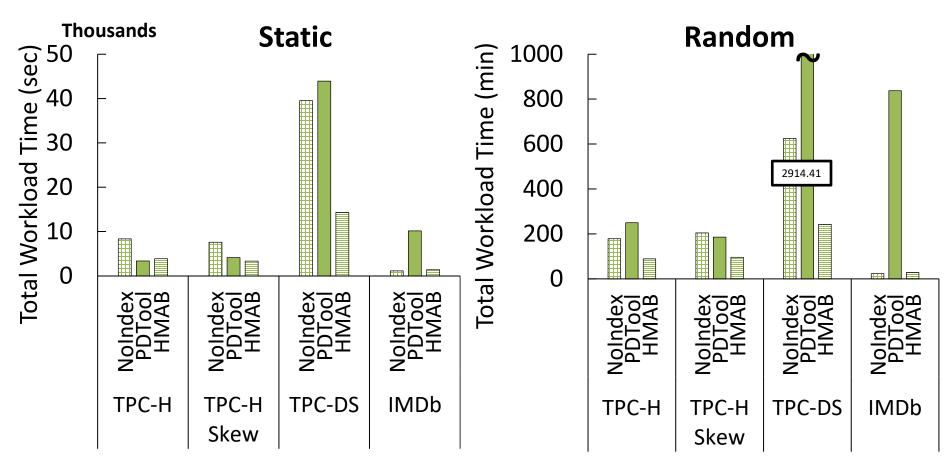
Figure: HMAB with an example

### **HMAB in Action**

MELBOURN

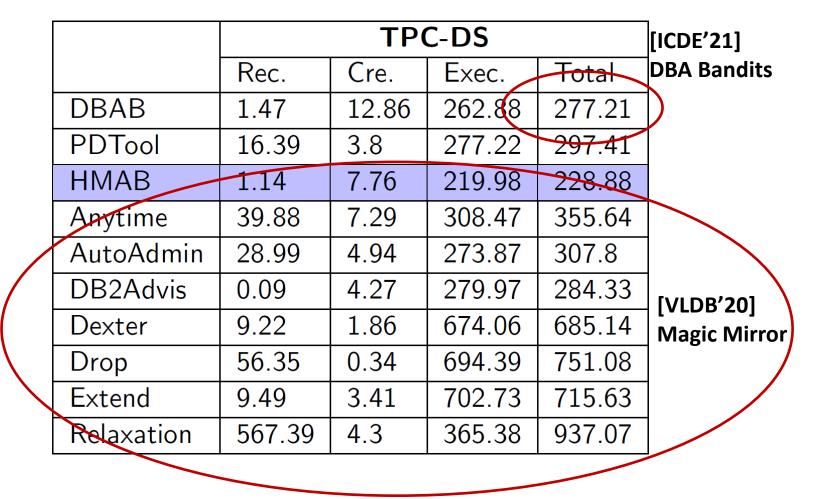
[VLDB'22]

**Setting**: TPCH, TPCH skew, TPC DS, IMDb datasets; static (repetitive) vs random (ad hoc) queries, MAB vs PDTool, 25 rounds, tuning indices and materialised views



#### Up to 96% speed-up, and 67% on average

# Index Only Tuning [VLDB'22]



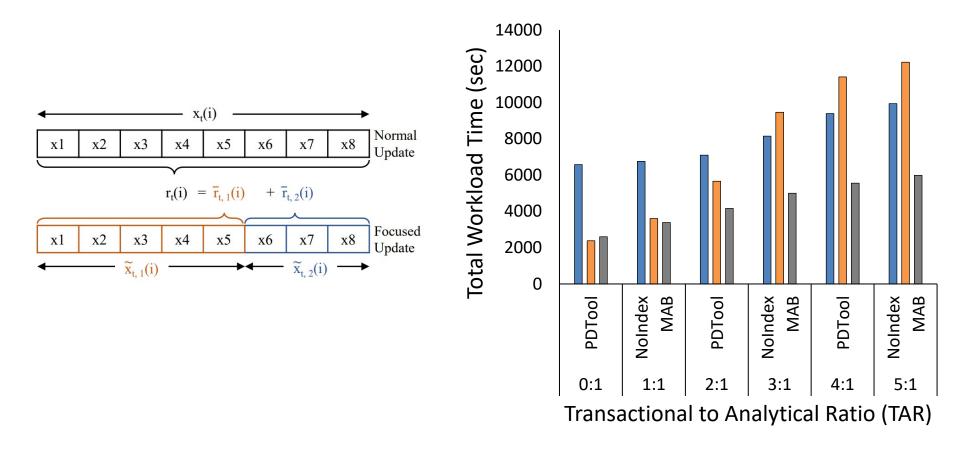
#### **Outperforming baselines over a single DS as well**

index selection algorithms. J. Kossmann, S. Halfpap, M. Jankrift, and R. Schlosser.



#### Dealing with complexity (HTAP) No DBA? No regret! ... [TKDE'23]

Setting: CH-BenCHmark under static workloads, MAB vs. PDTool, 25 rounds

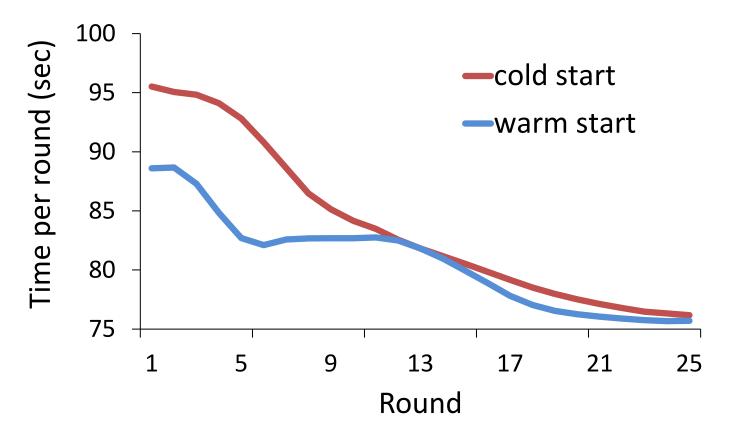


#### MAB with focused updates to support HTAP

# But isn't exploration too expensive?

#### Cutting to the chase with warm bandits

[ICDM'21] Setting: TPC-H benchmark 10GB, 5 queries, 25 rounds *static* 



(Inexpensive) warm up reduces exploration cost



# **Summary**

- (H)MAB is a lightweight MAB solution for *(integrated)* physical database design tuning
- HMAB is the first learned solution to work in the combined space of indices and views
- (H)MAB successfully tackles tuning challenges: optimizer *misestimates, unpredictable and HTAP* workloads
- Up to 40% and 70% average improvement for integrated view and index tuning under static and random settings compared against a SOTA commercial tuning tool



### **Critical view on learning-based algorithms**

### This is great, but.....

(Relatively) slow uptake by commercial vendors...



# **Properties for future DBMS adoption**

- Small computational overhead
  - Pre-training important, yet often ignored
  - Resources plus time invested
- Ability to adapt and generalize
  - See the past, adjust to unpredictable future
  - Train on development port to product environment
  - Transfer learning critical
- Safety guarantees required
  - Prove it does the right thing
  - Explain the output (decisions made)

# Lightweight, yet (provably) accurate is key

# Numerous opportunities for innovation

# ML within the DB Engine

- Physical database design
- Learned vs traditional data structures
- Configuration tuning
- Resource management
- Query optimization

# Innovation in ML domain

- Hierarchical MABs (infinite arms)
- Pretraining for faster convergence (warm start)
- Lightweight transfer learning

### Plus, the entire field DBs for ML!



# Where to go from here

*"It is not the strongest species that survive, nor the most intelligent, but the ones most responsive to change." Charles Darwin* 

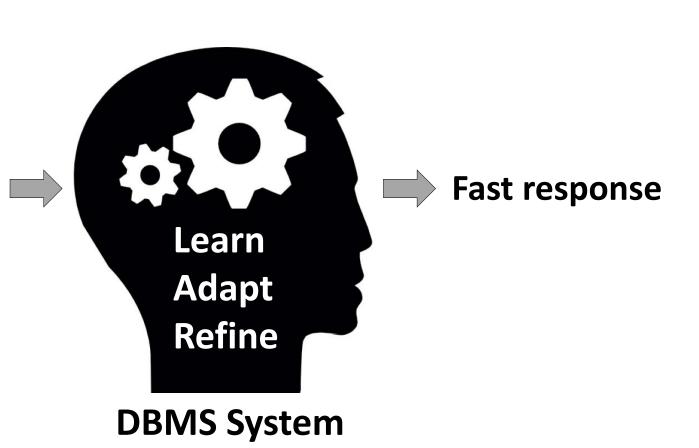
[SIGMOD'12] [CACM'15] [ICDE'21] [ICDM'21] [VLDB'23] [TKDE'23]

#### Data

[ICDE'15] [VLDBJ'18] [ADC'20] [SIGMOD'23] [ICDE'24] [VLDB'24]

#### Hardware

[VLDB'16] [ADMS'17] [CACM'19]



#### **Learning DBMSs for efficient data analysis**



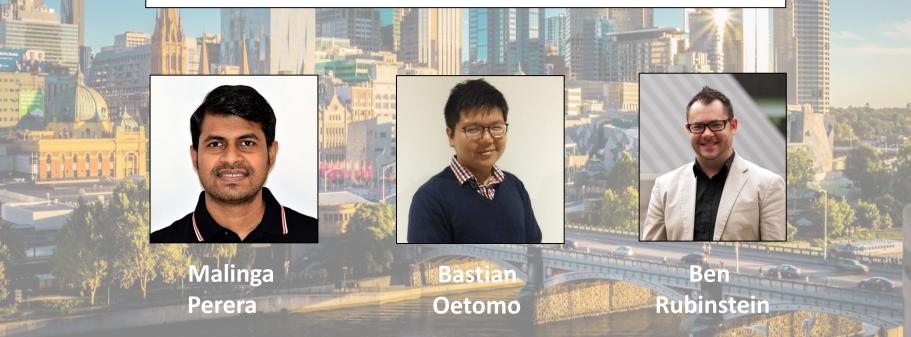
**THANK YOU!** 



Website: https://renata.borovica-gajic.com/

Email: renata.borovica@unimelb.edu.au

#### **Looking for PhD students!**



\*This work is supported by the Australian Research Council Discovery Project DP220102269, and Discovery Early Career Researcher Award DE230100366.



# **Backup slides**



# **Rewards that guide MAB**

$$r_t(i) = G_t(i, w_t, s_t) - C_{cre}(s_{t-1}, \{i\}).$$

- Gain is calculated based on query running times without any indices
- Balances the index creation cost and the execution cost
- Accounts for the real-world concerns (interaction between queries, application and run-time parameters)

# MABs don't need to try all arms

- **Example**: Linear bandit context with shared weight (*x*<sub>*i*,*j*</sub>: *j*<sup>th</sup> context feature of *i*<sup>th</sup> arm)
  - Context vector for arm n:  $X_n = [x_{n,1}, x_{n,2}, ..., x_{n,n}]$
  - Shared weight vector:  $\theta = [\theta_1, \theta_2, ..., \theta_n]$
  - Expected reward:  $x_{1,1} * \theta_1 + x_{1,2} * \theta_2 + \ldots + x_{1,n} * \theta_n$
- Enables knowledge sharing (exploration is narrowed to context features)
- Allows bandit to understand the new arms at the first sight
- Columns, Suitability to the workload, Size

# **MAB with context**

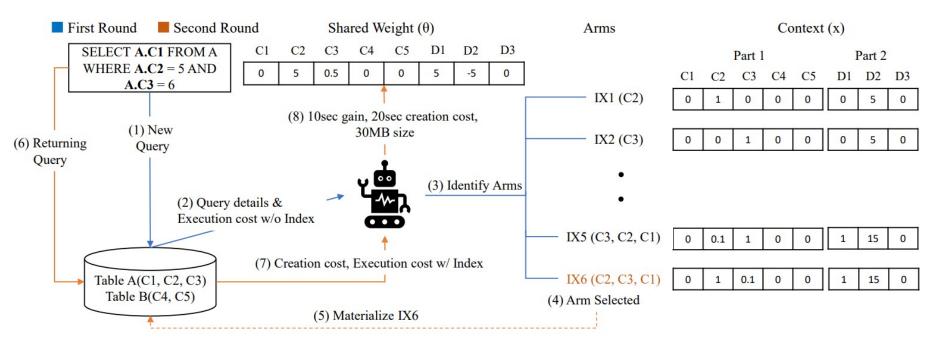


Figure: An abstract view of the bandit learning system

# **HTAP: positive + negative rewards**

"Increase salaries of all 3rd Year PhD students by \$10"

$$r_t(i) = G_t(i, w_t, s_t) - C_{cre}(s_{t-1}, \{i\}).$$

- Read-write workloads (extending to INSERT, UPDATE, DELETE queries) (HTAP workloads are both positively and negatively impacted by the indices.)
- Identifying negative rewards (Negative creation cost vs negative execution gains)



# **HTAP: Focused updates**

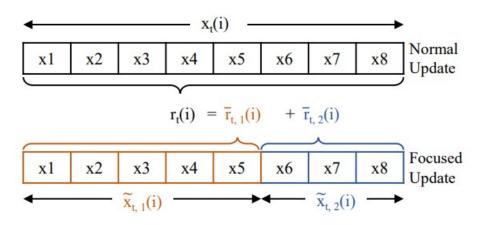


Figure: Regular contextual updates vs focused update.

- Allows identifying the expected reward for each reward component
- A new bandit flavour with better regret bound compared to the C<sup>2</sup>UCB bandit.
- 83% Memory saving with write heavy workloads



# **HMAB with contexts**

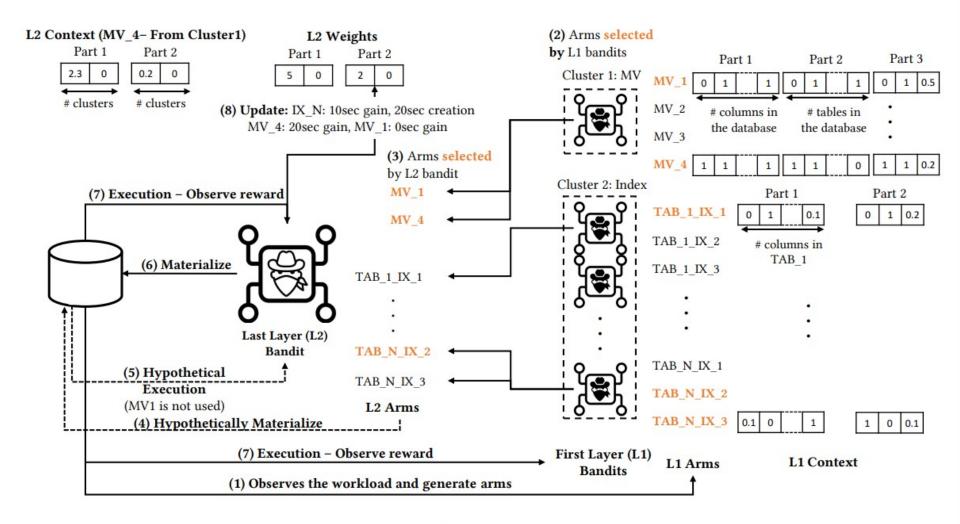
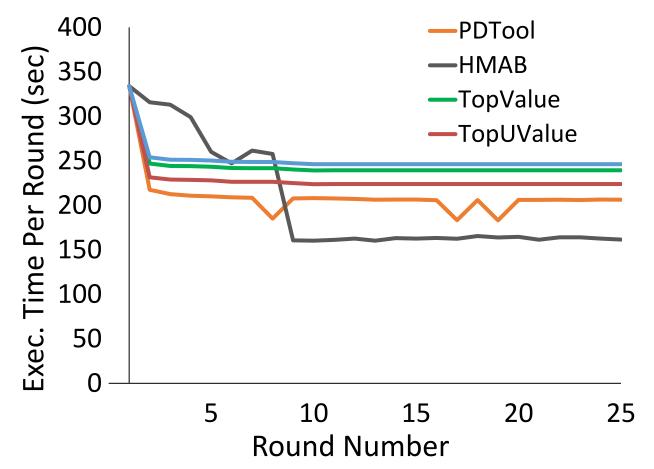


Figure: HMAB with an example



# **Materialised View Only Tuning**

**Setting**: **TPC-H**, static, MAB vs ICDE'21\* baselines, 25 rounds, tuning materialised views



\*[ICDE'21] An Autonomous Materialized View Management System with Deep Reinforcement Learning. Y. Han, G. Li, H. Yuan, and J. Sun.